

Respiratory epithelial cells orchestrate pulmonary innate immunity

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The epithelial surfaces of the lungs are in direct contact with the environment and are subjected to dynamic physical forces as airway tubes and alveoli are stretched and compressed during ventilation. Mucociliary clearance in conducting airways, reduction of surface tension in the alveoli, and maintenance of near sterility have been accommodated by the evolution of a multi-tiered innate host-defense system. The biophysical nature of pulmonary host defenses are integrated with the ability of respiratory epithelial cells to respond to and 'instruct' the professional immune system to protect the lungs from infection and injury.

Oxidative metabolism of cells throughout the body requires the exchange of vast quantities of oxygen and carbon dioxide across the alveolar-capillary interface in the peripheral lung. Throughout life, the dynamic process of ventilation moves millions of liters of air through the highly branched conducting airways to the alveoli, the latter lined by type I and type II epithelial cells. The gracile structure of the alveoli brings epithelial cells in close apposition to pulmonary capillaries for gas exchange. While this delivers life-requiring oxygen to the systemic circulation, particles, microbes and toxicants are also brought into the respiratory tract, where they meet a multilayered physical and chemical innate host-defense system evolved to prevent their entry into lung tissue and the circulation. Innate host defenses of the conducting airway depend on its branching structure and the multiple barriers created by layers of mucus, the tight adhesions between epithelial cells and the underlying stroma, and an abundance of fluid and antimicrobial molecules that enable mucociliary clearance. Conducting airways are the conduits whose chief role is to deliver almost completely sterile, hydrated gases to the peripheral alveoli for gas exchange (Fig. 1). In sharp anatomic contrast to the airways, the alveolar region of the lungs is a unique structural environment wherein surface tension is controlled by the careful balance of fluids and unique surface active lipids and proteins that remain stable during the expansion and compression of ventilation (Fig. 2). The anatomical structures that constitute the conducting and peripheral airways serve distinct roles in the innate defense of the lungs, and the diversity of epithelial cells lining the respiratory tract contributes in unique ways to pulmonary homeostasis.

Secreted products of lung epithelial cells

The conducting airways of the lungs, from the trachea to terminal bronchioles, are formed by budding and branching of endoderm-derived tubules by the process of branching morphogenesis¹. In human lungs, cartilaginous airways extend deep into the lung parenchyma and are surrounded by an abundance of submucosal glands that secrete fluids, mucins and other host-defense proteins into the airways. The human trachea, bronchi and bronchioles are lined mainly by a pseudostratified epithelium whose surface is dominated by ciliated cells (Fig. 1a,b). The highly ciliated nature of primate airways is distinct from that in the mouse and other rodents, in which secretory cells are much more abundant. Basal cells located beneath the surface epithelium serve as progenitors of both ciliated cells and secretory cells and have a critical role in regeneration of the airway epithelium following injury. A diversity of other epithelial cell types, including those in submucosal glands and other nonciliated respiratory cells, serve as progenitors following lung injury^{2,3}. Although ciliated cells are the predominant surface cells, secretory cells, including serous, club, neuroendocrine and goblet cells, are found in relatively low numbers in normal airways. The diverse cell types lining the lung synthesize and secrete an abundance of fluids, antimicrobial proteins and mucins, and their numbers and secretory activity are influenced by injury and infection. Submucosal glands are also lined by many cell types, including myoepithelial, serous, goblet, basal and ciliated cells, that together secrete fluids and other host-defense proteins onto the airway surface, at baseline and in response to environmental stimuli (Fig. 1). Conducting airways and submucosal glands secrete an array of host-defense molecules involved in the aggregation, trapping and killing of microbes. To name a few, human β -defensins, lysozyme, lactoferrin, cathelicidin LL37 and surfactant proteins A and D are expressed by airway epithelial cells and are regulated by exposure to pathogens, toxicants and cytokines⁴.

In sharp contrast to the diversity of cell types that produce innate defense proteins in conducting airways, only two cell types line the alveoli. Squamous type I alveolar cells cover approximately 90% of the alveolar surface in the adult lungs and interact closely with endothelial cells of pulmonary capillaries (Fig. 2a,b). The other cells that line the

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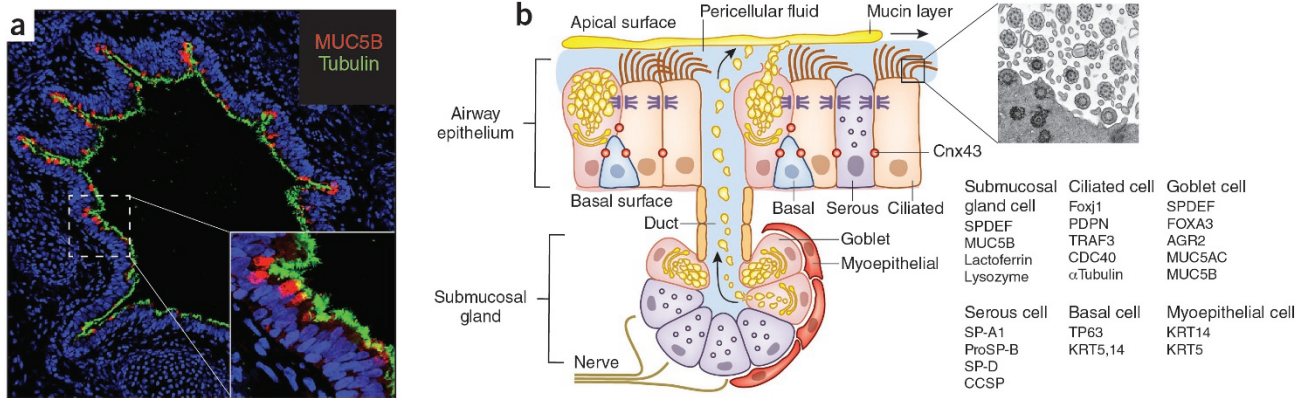


Figure 1 Structure and function of the innate host defenses in conducting airways. Cartilaginous airways from the terminal bronchioles to the trachea are lined by a pseudostratified epithelium, whose surface is lined by ciliated and secretory cells, that together with submucosal glands, secrete mucins and other host-defense proteins into the periciliary fluids (a,b). Various transcription factors and associated proteins (b, bottom right) are selectively expressed in distinct subsets of epithelial cells lining the airways and submucosal glands. Secreted mucins (blue), such as MUC5AC and MUC5B, produced by goblet cells create a hydrated mucus gel (c,d) that binds particles and pathogens that are moved by the periciliary brush (b) up the airway for clearance from the lungs. Epithelial cells lining the airways and submucosal glands (b,d) create tight epithelial barriers and secrete a diversity of host-defense proteins that recognize microbial pathogens, which enhances the uptake and killing of those pathogens by professional cells of the immune system. The biophysical scaffolds created by the mucus gel, tight cell-cell junctions and communication among respiratory epithelial cells provide multiple barriers to infection. The secretion of fluid and mucus is coordinated with the directional beating of cilia (ultrastructure in electronmicrograph in b) mediated by Cnx43.

alveoli are cuboidal type II epithelial cells. These are readily recognized by their abundance of lipid-rich lamellar bodies, microvilli on their apical surfaces and their expression of proteins that mediate surfactant homeostasis, such as ABCA3, SP-A, SP-B, SP-C and SP-D⁵. Type II alveolar cells have critical roles in the synthesis of surfactant lipids and proteins required for the reduction of surface tension to prevent collapse of the lungs (atelectasis) during the ventilatory cycle and to serve as the main progenitor cells during repair of the alveoli, functioning as self-renewing cells and precursors of type I cells⁶.

Barrier functions of the respiratory epithelium

Respiratory epithelial cells create multiple barriers mediated by their secretory products, surface glycocalyxes and membranes, and intercellular junctional proteins, the last mediated by claudins, connexins, paranexins, adhesions and zonula occludins that are linked to the actin cytoskeleton and provide structural integrity to the respiratory epithelium. Apical junctional complexes are formed by tight and adherens junctions created in part by homotypic and heterotypic binding among the many claudins expressed in pulmonary cells. Claudin 3 (Cld3), Cld4 and Cld18, which all have high expression in alveolar epithelial cells, create interlocking structures that form tight junctions to link alveolar epithelial cells. The importance of Cld18 in innate host defense is supported by findings demonstrating loss of barrier function and susceptibility of *Cldn18*^{-/-} mice to infection and injury by pathogens and proteases⁷. Loss of Cld3 and Cld4 in alveolar epithelial cells increases alveolar-capillary permeability and inhibits fluid clearance⁸. Disruption of tight junctional complexes increases epithelial permeability and inflammation in both conducting airways and alveoli, which contributes to the pathogenesis of asthma, acute respiratory distress syndrome and barotrauma-induced lung injury^{7,9-13}.

Mucus and mucociliary clearance

The luminal surfaces of the conducting airways, like those of the gastrointestinal and reproductive organs, are in direct contact with microbes and particles that must be removed or accommodated, to prevent their access to underlying epithelial cells. Cell-associated and secreted mucins serve to create a barrier, and biophysical ‘rafts’ of polymeric glycoconjugates bind to and transport pathogens from the conducting airways. Mucins are large glycoproteins that share an abundance of repeated threonine-rich domains decorated by a rich array of complex O-linked polysaccharides. Mucins that are ‘tethered’ to epithelial cells (for example, MUC4, MUC13, MUC16 and MUC21) create a direct host defense barrier at the epithelial surface that can be shed by pathogen or host-associated proteases, which releases offending microbes to the mucociliary ‘escalator’ for removal. The secreted airway mucins (MUC5B, MUC5AC and MUC2), which are encoded by genes located in a contiguous region of human chromosome 11, form a mucous gel that disrupts bacterial aggregation and binds microbial pathogens and prevents them from adhering to cell surfaces, which enhances their clearance by the mucociliary ‘escalator’^{14,15}. Although secreted airway mucins are notably associated with goblet cells that contain abundant mucin granules, mucins are also produced by club cells (MUC5ac) and alveolar cells (MUC1) in the conducting and peripheral airways and by goblet cells in submucosal glands; the last site expresses an abundance of MUC5B. Mucins undergo extensive post-translational folding and processing via both their carboxy-terminal domains and their amino-terminal domains, which generates linear and multimeric networks that create a gel-like lattice of polymeric proteins that is moved up the airway by the beating of cilia¹⁶. The abundance and types of mucus secreted by airway epithelial cells vary during development and along the proximal-peripheral airway of the lungs and are highly responsive to particles

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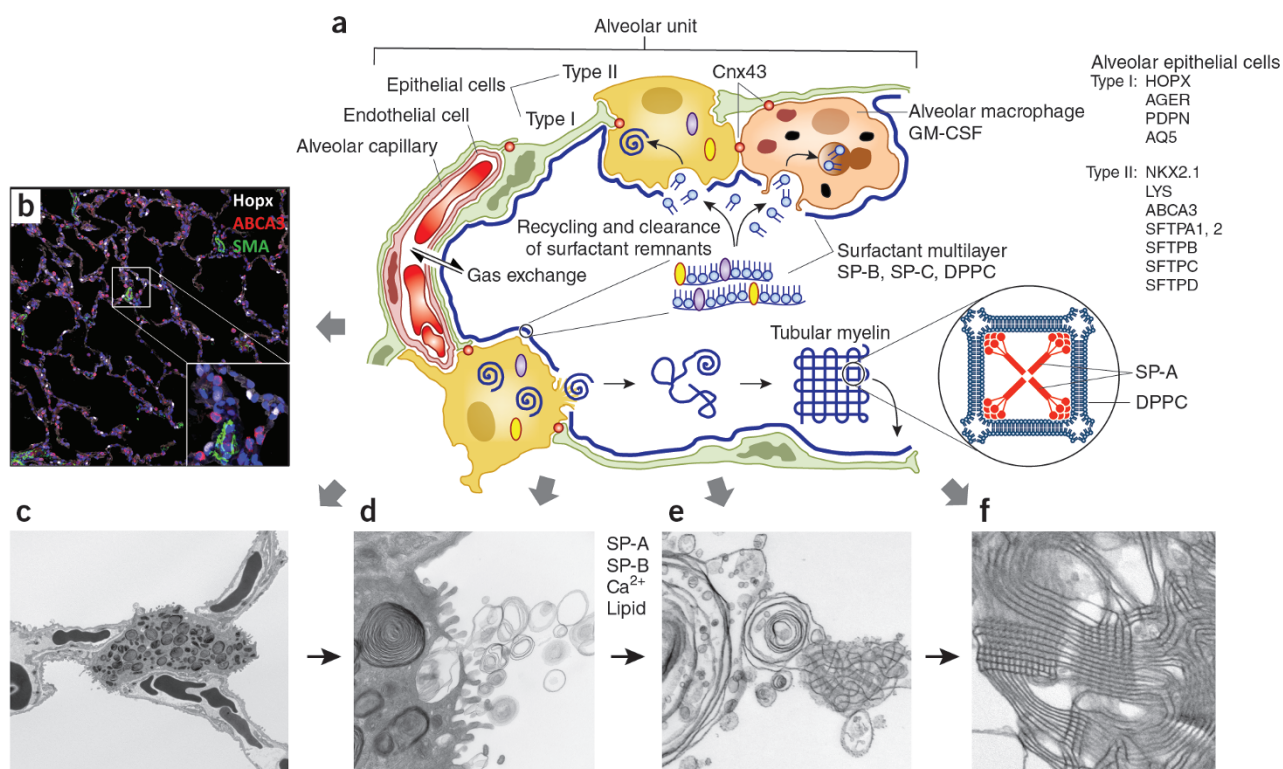


Figure 2 Integration of surfactant function and innate host defenses in the alveoli. Gas exchange is mediated by the close apposition of type I and type II epithelial cells to the endothelial cells of pulmonary capillaries, which creates an extensive surface area whereon environmental gases create collapsing forces at the hydrated surfaces of the alveoli (a,b). Hopx is a transcription factor selectively expressed in type I cells, and ABCA3 is a surfactant lipid transporter specific for type II epithelial cells in the alveoli (b). Antibody to smooth muscle actin (α -SMA) stains bronchiolar and vascular smooth muscle. Surface tension is diminished by pulmonary surfactant lipids and proteins secreted by type II epithelial cells (c–e) that remain stable during the dynamic compression and expansion of the lungs during ventilation. The biophysical activities of surfactant are integrated with alveolar host-defense functions that are mediated by the structural components of surfactant that have intrinsic antimicrobial activity. Tubular myelin (a,f), formed by surfactant proteins SP-A and SP-B, and lipid create a highly structured reservoir of surfactant and host-defense proteins that interact with alveolar macrophages and other cells of the immune system to bind to and remove microbial pathogens and ‘instruct’ inflammatory cells to mount appropriate host-defense responses (b). Alveolar epithelial cell and alveolar macrophages directly interact via Cnx43 channels to modify local inflammatory signals and regulate the expression of cytokines and chemokines in response to pathogens. The sizes of surfactant pools are maintained by the synthesis, secretion and reuptake of lipids and proteins by alveolar epithelial cells and by the catabolic activities of alveolar macrophages via processes regulated by GM-CSF that together maintain near sterility of the alveoli (a).

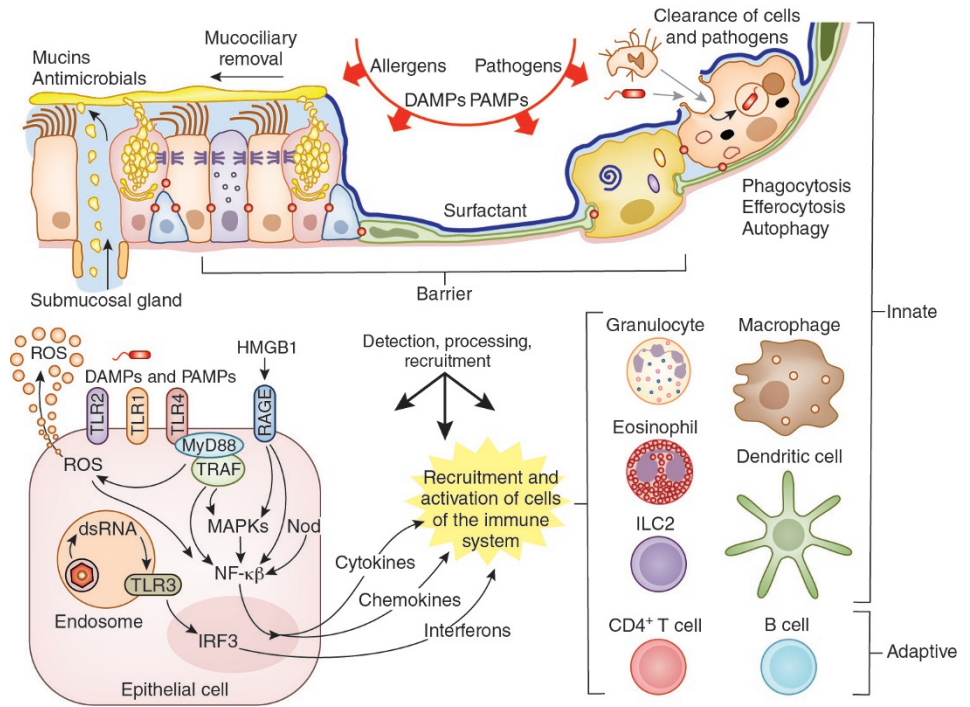
and pathogens^{15,17}. While increased synthesis and secretion of airway mucus is often a noisome accompaniment to the resolution of infection or environmental exposure, mucins serve to maintain airway homeostasis and the removal of pathogens and cellular debris during recovery from infection or injury. Excessive goblet cell differentiation and mucus hyperproduction are characteristic of chronic airway diseases, such as cystic fibrosis, chronic obstructive pulmonary disease (COPD), bronchiectasis (distension of the bronchi) and asthma (Fig. 1c). The transcriptional machinery that regulates goblet cell metaplasia and mucus production is intrinsically linked to inflammatory signaling via the Toll-like receptors (TLRs) and transcription factors of the IRF and NF- κ B families and cytokine signaling via the Jak kinase–STAT transcription factor pathway in respiratory epithelial cells^{15,18–20} (Fig. 3).

MUC5AC and MUC5B in pulmonary innate immunity

MUC5B and MUC5AC are the most abundant secreted mucins in conducting airways. While they serve critical roles in the formation of the hydrated mucus gel and the tethering other host defense proteins, mucins selectively bind to and disrupt the aggregation of microbial pathogens, which prevents the pathogens from entering the periciliary layer and blocks their access to underlying epithelial cell surfaces.

Insights into the distinct roles of MUC5AC and MUC5B in the homeostasis of airway epithelial cells have been provided by the study of transgenic mice that lack or express MUC5AC and MUC5B. Mice deficient in MUC5AC, which is normally expressed both in the intestine and in the lungs, survive but do not clear the helminth *Trichiuris muri* after infection, a result probably related to impaired host defense in the intestine²¹. Surprisingly, *Muc5ac*^{-/-} mice fail to recruit neutrophils following acute lung injury and are less susceptible to ventilator-associated inflammation²². Increased expression of MUC5AC in airway epithelial cells confers resistance to bacterial infection but does not cause mucus metaplasia or airway obstruction²³. In sharp contrast to the relatively mild altered pulmonary phenotypes associated with lack of MUC5AC, *Muc5b*^{-/-} mice develop severe pulmonary pathology and chronic bacterial infection with obstruction to airflow and inflammation²⁴. *Muc5b*^{-/-} mice fail to clear particles and pathogens from the airway, which indicates the critical role of MUC5B in lung homeostasis. Lack of MUC5B inhibits inflammatory responses, suppressing interleukin 23 (IL-23) and causing the accumulation of atypical, apoptotic alveolar macrophages whose phagocytosis and clearance of *Staphylococcus aureus* are impaired. The critical role of the mucus layer in lung innate defense is highlighted by the severe lung disease caused by mutations in the human gene encoding

Figure 3 Signaling via PAMPs and DAMPs in respiratory epithelial cells and downstream host-defense responses. PAMPs derived from commensal microbes or respiratory pathogens and DAMPs generated from cell stress and/or death within both the conducting airways and alveoli are recognized via membrane-associated or cytosolic PRRs expressed in respiratory epithelial cells. The binding of ligands to these receptors results in the activation of epithelial cell–intrinsic signaling pathways (via MAPK, IRFs, reactive oxygen species (ROS) and NF-κB) and subsequent production of cytokines, chemokines and antimicrobial proteins that recruit and activate cells of the innate and adaptive immune systems and regulate barrier function. These same recognition pathways in epithelial cells can stimulate autophagy, phagocytosis and the clearance of necrotic cells and pathogens and thus further influence local inflammatory responses. dsRNA, double-stranded RNA.



cystic fibrosis transmembrane conductance regulator (*CFTR*), which cause lung disease in people with cystic fibrosis. Mutations in *CFTR* inhibit cAMP-induced transport of Cl⁻ and HCO₃⁻ by epithelial cells that line the airways and submucosal glands, which causes mucus thickening (inspissated mucus), failure of mucociliary clearance and chronic bacterial infection. The lack of detachment and spreading of newly secreted mucus from submucosal glands in pigs in which the gene encoding *CFTR* is targeted supports a model in which epithelial cells lining submucosal glands do not adequately hydrate the mucus gel, impairing mucociliary clearance^{25,26}. Colonization of the thick, dehydrated mucus in the diseased airways with *Pseudomonas aeruginosa* creates biofilms that serve as reservoirs for chronic, polymicrobial bacterial infection²⁷. *P. aeruginosa* flagellin induce airway fluid secretion and mucociliary clearance via a process dependent on *CFTR*, which provides a plausible mechanism by which patients with cystic fibrosis are susceptible to infection²⁸.

Goblet cell differentiation is linked to innate signaling

Goblet cells and other secretory epithelial cells that line conducting airways are derived from epithelial progenitors, including basal cells, club cells and other airway cells whose differentiation is determined by the transcription factor SOX2 during morphogenesis of the embryonic conducting airways². The fate of epithelial cells is influenced by signaling via receptors of the Notch family that establishes the complexity of cellular niches and creates the stereotyped ‘cobblestone’ patterning of airway epithelial surfaces^{29–31}. The sites and extent of airway goblet cell differentiation vary during development and are strongly influenced by environmental exposures, infection and inflammation. The differentiation of airway goblet cells from basal cells and other airway cells requires SPDEF, a transcription factor that regulates genes encoding a network of molecules involved in mucus biosynthesis and secretion, including MUC5AC, MUC5B, MUC16, Foxa3 and enzymes and proteins that regulate the folding, packaging and processing of mucins³². The expression of secreted mucins is induced by the transcription factors STAT6, CREB, SP-1 and AP-1, the NAPDH oxidase component NOX-4, mitogen-activated protein (MAP) kinases and the transmembrane protein TM16A^{32–34}; on the other hand, it is inhibited by the transcription

factors Foxa2 and TTF-1 (ref. 35). Studies also indicate an important role for the calcium channel CLCA1 and MAPK13 in IL-13-induced mucus production³⁶.

SPDEF and Foxa3 ‘instruct’ immunological signaling

Although transient mucus hyperproduction and goblet cell metaplasia are normal responses to acute lung infection or injury, goblet cell differentiation and mucus hypersecretion are associated with increased susceptibility to infection and airway obstruction. In airway epithelial cells, SPDEF and Foxa3 are induced by infection with rhinovirus and exposure to interferons and type 2–related cytokines³⁷. SPDEF and Foxa3 enhance T helper type 2 (T_H2) immune responses, inducing the cytokines TSLP, IL-33 and IL-17 and inhibiting interferon responses to rhinovirus. SPDEF also binds to the signaling adapters TRIF and MyD88, inhibiting NF-κB- and interferon-mediated pathways in airway cells³⁸. Whereas this transcriptional network enhances the mucus production and mucociliary clearance needed to restore airway homeostasis following acute injury to the normal lungs, chronic expression of SPDEF and Foxa3 and the associated mucus hyperproduction can contribute to pulmonary dysfunction and recurrent infections in patients with COPD, asthma and/or cystic fibrosis.

Role of motile cilia in innate defense of the airways

The transport of particles and pathogens from the lungs depends on the coordinated directional movement of the mucus gel driven by the actions of the abundant ciliated cells that line human and primate lungs (Fig. 1). Ciliated cells represent the vast majority of luminal epithelial cells in cartilaginous airways in human lungs. Motile cilia are formed by the assembly of microtubules associated with dynein arms to form axonemes of motile cilia that are powered by ATP^{39,40}. Primary and secondary factors that cause ciliary dysfunction impair mucociliary clearance, which leads to recurrent infections associated with common pulmonary diseases. The importance of ciliary function is emphasized by the severely detrimental clinical phenotypes associated with inherited disorders of motile cilia, collectively called ‘primary ciliary

dyskinesia' (PCD)^{39,40}. PCD is a relatively rare disorder with an estimated prevalence of 1:15,000; it is caused by a growing number of mutations, including mutations of more than 25 distinct genes, usually inherited as autosomal recessive Mendelian disorders. Most genes with mutations that cause PCD encode proteins associated with outer and inner dynein arms, although mutations in genes encoding molecules that regulate the cytoplasmic assembly of cilia have been identified. Patients with PCD commonly present as newborns with transient respiratory distress or, later, with chronic sinusitis-otitis, cough, bronchiectasis and/or infertility, variably associated with abnormal right-to-left organ patterning, situs inversus or situs ambiguus (mirrored or abnormal distribution of visceral organs)^{39,40}. Decreased production of nitric oxide by the airway epithelial cells, ultrastructural abnormalities in cilia, chronic multibacterial infection, bronchiectasis and the identification of biallelic mutations in genes encoding molecules critical for the formation and function of cilia distinguish the severe lung disorder of PCD from that of cystic fibrosis⁴⁰. Bacterial cultures of sputum from patients with PCD often contain *S. aureus*, *Streptococcus pneumoniae*, *Haemophilus influenzae* and non-tubercular mycobacteria, and chronic infection with *P. aeruginosa* occurs at older ages in patients with PCD than in patients with cystic fibrosis⁴⁰. Increased production of IL-1, IL-6 and tumor-necrosis factor has been observed during pulmonary infection with *S. pneumoniae* in mouse models of PCD caused by loss of the genes encoding the PCD-related proteins Pcd1 and Spdef2, which links ciliary dyskinesia to innate defense responses⁴¹. Secondary dysfunction of the cilia is associated with abnormalities in airway hydration and mucus hyperproduction associated with cystic fibrosis, COPD and cigarette smoking that impair ciliary function and mucociliary clearance, which leads to recurrent infections, bronchiectasis and airway obstruction.

The biogenesis of motile cilia is directly linked to the differentiation of ciliated cells from basal cells and other airway progenitors and is driven by highly conserved transcriptional programs that are dependent on members of the regulatory factor X family, multicilin and Foxj1 (ref. 42). The number of ciliated and non-ciliated cells varies among species and along the proximal-peripheral axis of conducting airways and is strongly influenced by toxicants and inflammatory processes, such as cigarette smoking. Ciliary length and dysfunction are linked to autophagy and are probably involved in the pathogenesis of airway disease in COPD⁴³.

Connexins coordinate respiratory epithelial cells

Precise coordination of ciliary beat frequency and directionality along the airway epithelium is needed to move mucus above the periciliary fluid layer and toward the larynx. Ciliary beat frequency responds to mechanical stress and neurochemical and inflammatory signals that induce intracellular calcium transients coupled by the intercellular movement of inositol trisphosphate. Paracrine signals, including those by purinergic and other signaling receptors on the basolateral and apical surfaces of respiratory epithelial cells, influence ciliary function. Stimulus-induced responses are rapidly exchanged among ciliated cells via gap junctions. While a diversity of gap junction proteins is involved in coupling epithelial cells and non-epithelial cells in the lung, the connexin Cnx43 has a critical role in intracellular communications among cells lining conducting airways in regulating the activity of cilia that are closely integrated with inflammatory signaling⁴⁴. Cnx43 mediates calcium-dependent signaling following the activation of TLR2 by *P. aeruginosa* to activate NF- κ B and the epithelial secretion of cytokines (CXCL8), which recruits neutrophils to sites of pulmonary infection⁴⁵.

Role of the surfactant system in innate host defense

Inherent in their structure and function, the alveoli create an extensive surface where type I cells and capillary endothelial cells come into

close apposition to mediate the efficient exchange of oxygen and carbon dioxide (Fig. 2). The elastic structure of the alveoli, as well as the necessity to dynamically diminish surface tension at the gas-liquid interface at the alveolar surface, presents unique host-defense and biophysical challenges, the failure of which leads to infection, tissue damage, alveolar capillary leak and potential catastrophic collapse of the lungs (atelectasis) that impairs ventilation, processes that underlie the pathogenesis of acute respiratory distress syndromes in infants and adults. The repertoire of host-defense proteins and processes in surfactant are uniquely suited for alveolar function and are distinct from those in conducting airways. Innate host defenses in the alveoli are constrained by the challenges of producing proteins whose structures and functions do not impair but instead enhance the surface activities of lipids (Fig. 2). Surfactant proteins contribute to the structure, regulation, and function of pulmonary surfactant and have intrinsic host-defense properties⁵. Pulmonary surfactant is composed mainly of lipids, as well as surfactant proteins at lower concentrations, that together serve critical roles diminishing surface tension in the alveolus during the dynamic changes in lung volumes generated during the ventilatory cycle⁵. The surfactant proteins SP-A and SP-D are well-conserved members of the collectin family of innate host-defense proteins and have high expression by type II alveolar epithelial cells. The carboxy-terminal lectin-like domains of SP-A and SP-D bind with varying specificity to a diversity of pathogen-associated molecular patterns (PAMPs), including complex carbohydrate surfaces of common respiratory viruses, bacterial and fungal pathogens, and associated toxins, which enhances the opsonization and killing of the pathogens by alveolar macrophages and regulates the activities of macrophages, neutrophils and lymphocytes^{46,47}. The surfactant proteins SP-B and SP-C are synthesized and proteolytically processed by type II alveolar epithelial cells, which create the small hydrophobic peptides needed for the spreading and stability of surfactant lipids.

Tubular myelin creates a host-defense scaffold

Tubular myelin is a highly structured lipid-protein complex with distinct roles in alveolar homeostasis; it provides an extracellular reservoir of surfactant lipids that move to the multilayered films at the air-liquid interface to reduce surface tension and a scaffold made of and hosting innate defense proteins (Fig. 2). Surfactant lipids show enrichment for dipalmitoylphosphatidylcholine, and other lipids, such as phosphatidylglycerol, have intrinsic antimicrobial activities^{48,49}. The formation of tubular myelin requires SP-A and SP-B produced by type II epithelial cells (Fig. 2). The characteristic ultrastructure of the tubular myelin is imparted by binding of the carboxy-terminal domain of SP-A in the corners of the lipid lattice and the oligomerization of its collagenous domains across its diagonal. Tubular myelin hosts other innate defense proteins, including lysozyme and SP-C, that have distinct antiviral and antimicrobial activities in addition to their ability to enhance surfactant activity. SP-B, a cationic protein, is required for surfactant function and enhances the killing of bacteria at acidic pH within alveolar macrophages^{50,51}. SP-C, a small proteolipid-like peptide required for normal surfactant activity, also binds endotoxin, and this seems to have a protective effect, because SP-C-deficient mice are susceptible to pulmonary inflammation following exposure to endotoxin^{52,53}. Similarly, SP-C-deficient (*Sftpc*^{-/-}) mice are susceptible to lung injury during pulmonary infection with respiratory syncytial virus⁵⁴. In addition, mice deficient in SP-A or SP-D are highly susceptible to pathogenic bacteria and viruses and their products⁵⁵. Surfactant-associated innate defense molecules bind, aggregate and/or directly kill microbial pathogens and enhance their clearance by professional cells of the immune system and minimize inflammation.

Alveolar macrophages in lung immunological homeostasis

Alveolar concentrations of surfactant lipids and proteins are controlled by *de novo* synthesis, reuptake and recycling by alveolar type II cells and by the catabolic activity of alveolar macrophages (Fig. 2a). Studies of mice with deletion of *Csf2*, which encodes the macrophage growth and differentiation factor GM-CSF, have provided critical insights needed to link surfactant catabolism and the innate immune defense functions of alveolar macrophages⁵⁶. The recruitment and differentiation of the precursors of alveolar macrophages depend on GM-CSF produced by pulmonary parenchymal cells that activates signaling via the receptor for GM-CSF to regulate PU.1, an Ets transcription factor that activates genes encoding molecules required for alveolar macrophage differentiation, surfactant protein and lipid catabolism, and appropriate innate defense responses^{57,58}. Antibodies to GM-CSF impair the clearance of surfactant by macrophages, which results in acquired pulmonary alveolar proteinosis (PAP)⁵⁶. Acquired PAP is corrected in mice and human patients by inhalation of GM-CSF⁵⁹. Biallelic mutations in *CSF2RA* and *CSF2RB*, which encoding receptors for GM-CSF, have been identified in patients with hereditary PAP^{60–62}. The provision of progenitors of alveolar macrophages into which the normal receptors of GM-CSF have been transduced restores both the size of the pulmonary surfactant pool and macrophage function in *Csf2ra*^{-/-} mice⁶³. Impairment of GM-CSF signaling is associated with susceptibility to bacterial and viral infections in mouse models and with atypical clinical infections in people with PAP⁶⁴. Resident macrophages and dendritic cells serve pleiotropic roles in the alveoli by responding to pathogens, sensing antigens and activating innate and acquired immunity. Alveolar macrophages clear apoptotic cells during infection and inhibit inflammation. Remarkably, Cnx43 mediates direct physical interactions among type II and type I cells and alveolar macrophages, coupling cell signaling that modulates local inflammatory responses to injury^{9,65}.

Apoptosis, the unfolded protein response and autophagy

Although airway epithelial cells are highly responsive to external stimuli, the ongoing synthetic and metabolic requirements for innate host defenses place these cells in a precarious balance between expenditure of energy and the cell activities needed to maintain homeostasis and those needed to respond to infection and injury. Multiple pathways that regulate apoptosis, reactive oxygen species, DNA damage, autophagy and the unfolded protein response (UPR) serve to integrate cell survival with the need to respond to and clear pathogens and infected cells^{66–68}. The clearance of infected cells is mediated by activities of the acquired immune system, such as CD8⁺ T cells, and by epithelial cell-autonomous mechanisms; however, studies have demonstrated that subsets of epithelial cells in infected conducting airways can survive clearance by the immune system, and their survival contributes to ongoing inflammation following infection with influenza A virus⁶⁹. The UPR and other stress responses link the folding of proteins to inflammatory signaling and cell survival that is well adapted to the recognition of non-host cell proteins encoded by viruses and other pathogens. The synthesis of misfolded proteins encoded by microbial pathogens creates cell stress and inflammation. The UPR activates apoptosis and necrosis to remove infected epithelial cells and pathogens. Although it is well established that alveolar macrophages ingest infected and apoptotic cells by phagocytosis, studies have also demonstrated that similar phagocytic activity by respiratory epithelial cells results in inhibition of inflammation⁷⁰. The battle between viruses and the synthesis of non-mammalian proteins and the inherent metabolic and synthetic requirements of the respiratory epithelial cells have driven adaptation by both pathogens and hosts during evolution. The UPR integrates the metabolic challenge of producing large amounts of complex proteins of the innate immune sys-

tem required for host defense with the ability to recognize and respond to proteins encoded by invading pathogens to signal inflammation or cell death. Spontaneous activation of the UPR and apoptosis of airway epithelial cells occurs in patients with familial interstitial lung disease (ILD) and sporadic idiopathic pulmonary fibrosis^{71–73}. In type II epithelial cells, mutated versions of genes encoding the surfactant proteins SFTPA and SFTPC and the surfactant lipid transporter ABCA3 result in induction of the UPR and epithelial cell injury involved in the pathogenesis of familial ILD in children and adults^{71,72,74}. Genome-wide association studies have also linked alleles on the chromosome 11 locus that encodes the gel-forming mucin MUC5B with susceptibility to idiopathic pulmonary fibrosis⁷⁵. Allergens, T_H2 cytokines and exposure to virus enhance both mucus production and endoplasmic reticulum stress, as indicated by the induction of IRE-1 β , a molecule required for mucin biosynthesis⁷⁶. Ongoing epithelial injury related to misfolded proteins causes pulmonary inflammation, remodeling and loss of lung function. Likewise, impairment of surface activity, such as that caused by selective loss of the production of SP-B or SP-C by type II epithelial cells, is sufficient to impair both alveolar barrier and macrophage function and cause inflammation and tissue injury^{77,78}.

Responses to commensal and pathological microbes

The respiratory epithelium is constantly exposed to environmental microorganisms and their byproducts. Although long considered sterile, both the upper and lower regions of the normal lung in fact harbor a relatively low abundance of ‘commensal’ bacteria whose diversity exhibits general similarity to that of taxonomic species found in the oropharynx^{79,80}. The clearance of microbial pathogens and adaptation to a commensal flora are mediated by the mucociliary barrier and carefully gated innate and acquired immune responses that minimize inflammation and maintain tissue homeostasis. Exposure of the respiratory tract to the commensal microbiota and to viral infection early in life strongly influences adaptive and innate immune responses to subsequent exposures, regulates the acquisition of T_H1 and T_H2 immunity during development, and affects asthmatic and non-asthmatic responses later in life^{81,82}. Respiratory epithelial cells have an essential important role in the initial recognition and amplitude of the inflammatory responses to microbial pathogens through activation of TLRs and receptors of the NLR family, signaling via Jak-STAT, NF- κ B and IRFs, and subsequent production of cytokines and chemokines. How specific and stochastic signals from commensal and microbial pathogens are integrated for appropriate responses to stimuli while minimizing tissue injury and directing appropriate immune responses remains an intriguing question in mucosal immunity. The lungs of smokers and patients with cystic fibrosis, COPD and/or asthma display disruption of normal mucociliary clearance, which might alter the quantity and diversity of bacterial populations in the lung, and underlie clinical exacerbations that contribute to morbidity and mortality in these disorders^{79,83,84}.

PAMP and DAMP signaling

In addition to the multitiered innate defense system provided by mucociliary clearance and surfactant, epithelial cells recognize microbial pathogens and their products and initiate signaling to recruit and ‘instruct’ cells of the immune system (Fig. 3). The surfaces of respiratory epithelial cells provide the initial interface with the environment and are well equipped to respond to PAMPs and danger-associated molecular patterns (DAMPs). Pattern-recognition receptors (PRRs), including TLRs and NLRs, are widely expressed by respiratory epithelial cells⁸⁵. While signaling by such receptors in hematopoietic cells is an essential component of innate immunity in the lungs, TLR signaling in structural,

radioresistant cells, including airway epithelial cells, is critical for driving mucosal immune responses^{85–88}. Conducting airway cells and type II alveolar cells express multiple TLRs, including TLR2 and TLR4; the latter is activated in response to lipopolysaccharide, respiratory syncytial virus, cigarette smoke and inflammatory cytokines^{89–91}. Infection with the bacterial pathogen *Klebsiella pneumoniae* enhances the activity of TLR2 and TLR4 in human airway epithelial cells⁹². The activation of signaling pathways dependent on the nucleic-acid sensors RIG-I and TLR3 in the respiratory epithelium mediates host-defense responses to respiratory viral pathogens^{93–97}. The NLRs Nod1 and Nod2, which are involved in the clearance of bacterial pathogens, are expressed in airway epithelial cells⁹⁸; however, less is known about epithelial cell-specific NLR signaling in the airways. TLR4-dependent activation of NF- κ B by house dust mites and activation of PAR-2, a member of the proteinase-activated receptor (PAR) family, on respiratory epithelial cells by allergens cause epithelial secretion of chemokines and cytokines, such as IL-33, TSLP and IL-25, that influences the activation and recruitment of pulmonary dendritic cells, type 2 innate lymphoid cells (ILC2 cells or nuocytes) and T_H2 lymphocytes^{88,99–106}. An emerging body of literature supports the proposal of cooperation among TLRs, NLRs and PARs in mediating the innate responses of mucosal epithelial cells^{107,108}.

Respiratory epithelial cells are well equipped with receptors that direct epithelial responses to molecules released during cell stress or necrosis. The DAMP PRR RAGE is expressed in respiratory epithelial cells, and type I alveolar cells show enrichment for this receptor¹⁰⁹. The DAMP HMGB1 ('high-mobility group box 1'), a DNA-binding nuclear protein, is released during cell death and stimulation with cytokines. HMGB1 signals to nearby cells through TLR4-, TLR2- and RAGE-dependent pathways¹¹⁰. RAGE and TLRs act together in the regulation of cellular responses to inflammation, infection and cell stress^{110,111}. Respiratory epithelial cells both respond to and produce endogenous DAMPs such as ATP, HMGB1, S100 proteins and uric acid^{112,113}. Chronic exposure to pathogens, oxidants and toxicants causes the release of DAMPs that activate epithelial cell-intrinsic pattern-recognition pathways and also recruit and activate cells of the immune system that are active in chronic pulmonary disease, including cystic fibrosis and COPD¹¹⁴.

PAMPs and DAMPs regulate signaling cascades that alter epithelial gene expression and cytokine production. Signal transduction through the signaling adaptor MyD88 and MyD88-independent mechanisms activates NF- κ B, MAP kinases and/or IRF3 in epithelial cells. The outcome of signaling via PAMPs or DAMPs is influenced by the nature of both the microbial pathogen and the inflammatory environment in the lungs and results in both protective effects and pathological effects on airway barrier functions. Epithelial cell-derived expression of cytokines and chemokines following the recognition of PAMPs or DAMPs influences the recruitment and activation of professional cells of the immune system to modulate inflammatory responses in the lungs^{85,115}.

PRRs direct epithelial cell-intrinsic defense responses. Activation of signaling pathways of TLR2 and TLR3 by *H. influenzae* lipoprotein or rhinovirus activate the expression of MUC5AC in conducting airways^{116,117}. Antimicrobial peptides produced by airway epithelial cells, such as the human β -defensins HBD-2 and HDB-4, are expressed in response to activation of TLRs. Epithelial expression of HBD-2 is induced by microbes and is decreased in airways of people with COPD¹¹⁸. HBD-2 expression is activated via a TLR2- and NF- κ B-dependent pathway^{119,120} and is linked to signaling by other TLRs, including TLR3, TLR4, TLR5 and TLR9 (refs. 120,121). TLR2 and TLR4 regulate both the secretion of diverse proteins of the innate immune system and the survival of respiratory epithelial cells following acute lung injury¹²². Collectively, the respiratory epithelium serves a critical role in the recognition of PAMPs and DAMPs in the airways and the

subsequent initiation of pathways that regulate the responses of cells of the immune system, barrier function and the clearance of apoptotic cells and pathogens.

Summary

Innate defense responses of the respiratory epithelium have enabled evolutionary adaption to the constant exposure to microbial pathogens, particles and toxicants while maintaining lung function and tissue homeostasis. Respiratory epithelial cells produce a repertoire of biophysical scaffolds, host-defense molecules and barriers and communicate among themselves and with professional cells of the immune system through the production of cytokines, chemokines and DAMPs to maintain near sterility of the peripheral lungs throughout life.

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The authors declare no competing financial interests.

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